

**THE REGIONAL MUNICIPALITY OF NIAGARA
AUDIT COMMITTEE
OPEN SESSION**

**AC 02-2019
Monday, March 18, 2019
Council Chamber
Niagara Region Headquarters, Campbell West
1815 Sir Isaac Brock Way, Thorold, ON**

Committee: Bradley (Regional Chair), Foster, Redekop, Rigby (Committee Chair), Sendzik, Whalen (Committee Vice Chair)

Absent/Regrets: Gale

Staff: E. Amirault, Associate Director, Finance, Operations & System, H. Chamberlain, Director, Financial Management & Planning/Deputy Treasurer, X. Gao, Internal Audit Analyst, T. Harrison, Commissioner/Treasurer, Enterprise Resource Management Services, M. Jurczyk, Director, Internal Controls & Organizational Performance, F. Marcella, Internal Auditor, A.-M. Norio, Regional Clerk, M. Trennum, Deputy Regional Clerk, J. Ugwu, Process and Compliance Auditor

1. **CALL TO ORDER**

Committee Chair Rigby called the meeting to order at 1:03 p.m.

2. **DISCLOSURES OF PECUNIARY INTEREST**

There were no disclosures of pecuniary interest.

3. **PRESENTATIONS**

There were no presentations.

4. **DELEGATIONS**

There were no delegations.

5. ITEMS FOR CONSIDERATION

5.1 AC-C 10-2019

Accounts Payable Audit Report

Moved by Councillor Whalen
Seconded by Councillor Foster

That Report AC-C 10-2019, dated March 18, 2019, respecting Accounts Payable Audit Report, **BE RECEIVED** and the following recommendation **BE APPROVED**:

1. That staff **BE DIRECTED** to implement the recommendations contained in Appendix 1 of Report AC-C 10-2019.

Carried

5.2 AC-C 11-2019

Internal Audit Charter Update

Moved by Councillor Sendzik
Seconded by Councillor Foster

That Report AC-C 11-2019, dated March 18, 2019, respecting Internal Audit Charter Update, **BE RECEIVED** and the following recommendation **BE APPROVED**:

1. That the Internal Audit Charter **BE REVISED** as recommended in Appendix 1 of Report AC-C 11-2019.

Carried

5.3 AC-C 13-2019

Consideration of Reimbursement for Expense

Moved by Councillor Sendzik
Seconded by Councillor Whalen

That Correspondence Item AC-C 13-2019, being a memorandum from A.-M. Norio, Regional Clerk, dated March 18, 2019, respecting Consideration of Reimbursement for Expense, **BE RECEIVED** for information.

Carried

Moved by Councillor Sendzik
Seconded by Councillor Whalen

That legal costs in the total amount of \$846.92 requested for reimbursement in Correspondence Item AC-C 13-2019 **BE APPROVED** pursuant to section 4.9(d) of the Regional Council Expense Policy; and

That staff **BE DIRECTED** to issue payment of \$846.92 to former-Councillor Bill Hodgson.

Carried

6. CONSENT ITEMS FOR INFORMATION

6.1 AC-C 08-2019

Children's Services Risk Assessment

Moved by Councillor Whalen
Seconded by Councillor Sendzik

That Correspondence Item AC-C 08-2019, being a memorandum from M. Jurczyk, Director, Internal Controls and Organizational Performance, dated March 18, 2019, respecting Children's Services Risk Assessment, **BE RECEIVED** for information.

Carried

6.2 AC-C 09-2019

Councillor Information Request – Financial Management Planning
Organizational Chart

Moved by Councillor Sendzik
Seconded by Councillor Foster

That Correspondence Item AC-C 09-2019, being a memorandum from H. Chamberlain, Director, Financial Management and Planning/Deputy Treasurer, dated March 18, 2019, respecting Councillor Information Request – Financial Management Planning Organizational Chart, **BE RECEIVED** for information.

Carried

6.3 AC-C 12-2019

Audit Plan Progress Update Dashboard

Moved by Councillor Sendzik
Seconded by Councillor Whalen

That Correspondence Item AC-C 12-2019, being a memorandum from M. Jurczyk, Director, Internal Controls and Organizational Performance, dated March 18, 2019, respecting Audit Plan Progress Update Dashboard, **BE RECEIVED** for information.

Carried

7. OTHER BUSINESS

7.1 Internal Controls and Organizational Performance Division Name

Councillor Sendzik requested staff provide information respecting a change to the Internal Controls and Organizational Performance (ICOP) division name. T. Harrison, Commissioner, Enterprise Resource Management Services/Treasurer, advised that this was a Human Resources matter and that staff would provide information respecting the process and options for changing the department name.

8. NEXT MEETING

The next meeting will be held on Monday, May 6, 2019 at 1:00 p.m. in the Council Chamber.

9. ADJOURNMENT

There being no further business, the meeting adjourned at 1:20 p.m.

Tim Rigby
Committee Chair

Matthew Trennum
Deputy Regional Clerk

Ann-Marie Norio
Regional Clerk