

Observation Status for Management Action Plan as of November 2020

Report Title	Report Issue Date	High & Medium Observations	Closed	In Progress	Past Due	On Hold	Deadline	Follow-up Action Plan
Burgoyne Bridge Performance Assessment	Feb-16	8	6		2		Mar-21	The two past due observations pertain to project cost estimating and document management. The projects have scope has changed and thus the overall deadline has also changed from the original MAP report. Asset Management Office (AMO) has developed a work plan for cost estimating model based on three phases. The first phase is to develop a cost estimating process, which has already started.
VFM - Snow Plowing, Road Maintenance and Landscaping Services	Jun-16	13	13				n/a	Targeted follow-ups may be planned focussing on performance measures, contract management and expenditure management.
Fleet, Equipment Management & Replacement Process	Jan-17	4	4				Dec-20	Internal Audit should conduct a follow-up on Fleet Management in 2022.
Fleet Parts Inventory & Fuel Audit	Nov-17	2	1			1	n/a	The observation on hold pertains to research the cost and benefit associated with installation of automatic fuel pumps. The research is dependent on Council's direction on Niagara Region's role in Material Recycling Facilities (MRF)
P-Card Audit	Oct-17	10	10				n/a	Follow-up audit planned for 2021
Information Technology Security and Data Backup Controls Audit	Apr-18	16	15	1			Apr-20	The three observations in process pertain to security assessments, remote sites of infrastructure devices and investigation of CMDB tool. Internal Audit is currently scoping out plans to conduct audits next year focussing on cyber security, access and control measures and penetration testing.

Grants and Incentive Program	Jun-18	4		4			Dec-20	The four observations in process pertain to program rationalization, standardization of operating procedures, establishment of performance measures and improvement of administrative efficiency in regional grants program
Payroll Audit: Phase 1 - Timekeeping Process	Aug-18	2	2	0			Dec-20	Follow-up audit planned for 2022
Procurement Audit	Jan-20	4		4			Dec-20	All the recommendations are in the process of implementation
Non-competitive Procurement Audit	Jan-20	3		3			Jul-20	All the recommendations are in the process of implementation
Health Benefits Claims Audit	Mar-20	3		3			Dec-21	
		69	51	15	2	1		