

**THE REGIONAL MUNICIPALITY OF NIAGARA
AUDIT COMMITTEE
OPEN SESSION**

**AC 02-2019
Monday, March 18, 2019
Council Chamber
Niagara Region Headquarters, Campbell West
1815 Sir Isaac Brock Way, Thorold, ON**

Committee: Bradley (Regional Chair), Foster, Redekop, Rigby (Committee Chair), Sendzik, Whalen (Committee Vice Chair)

Absent/Regrets: Gale

Staff: E. Amirault, Associate Director, Finance, Operations & System, H. Chamberlain, Director, Financial Management & Planning/Deputy Treasurer, X. Gao, Internal Audit Analyst, T. Harrison, Commissioner/Treasurer, Enterprise Resource Management Services, M. Jurczyk, Director, Internal Controls & Organizational Performance, F. Marcella, Internal Auditor, A.-M. Norio, Regional Clerk, M. Trennum, Deputy Regional Clerk, J. Ugwu, Process and Compliance Auditor

1. CALL TO ORDER

Committee Chair Rigby called the meeting to order at 1:03 p.m.

2. DISCLOSURES OF PECUNIARY INTEREST

There were no disclosures of pecuniary interest.

3. PRESENTATIONS

There were no presentations.

4. DELEGATIONS

There were no delegations.

5. **ITEMS FOR CONSIDERATION**

5.1 **AC-C 10-2019**

Accounts Payable Audit Report

Moved by Councillor Whalen

Seconded by Councillor Foster

That Report AC-C 10-2019, dated March 18, 2019, respecting Accounts Payable Audit Report, **BE RECEIVED** and the following recommendation **BE APPROVED**:

1. That staff **BE DIRECTED** to implement the recommendations contained in Appendix 1 of Report AC-C 10-2019.

Carried

5.2 **AC-C 11-2019**

Internal Audit Charter Update

Moved by Councillor Sendzik

Seconded by Councillor Foster

That Report AC-C 11-2019, dated March 18, 2019, respecting Internal Audit Charter Update, **BE RECEIVED** and the following recommendation **BE APPROVED**:

1. That the Internal Audit Charter **BE REVISED** as recommended in Appendix 1 of Report AC-C 11-2019.

Carried

5.3 **AC-C 13-2019**

Consideration of Reimbursement for Expense

Moved by Councillor Sendzik

Seconded by Councillor Whalen

That Correspondence Item AC-C 13-2019, being a memorandum from A.-M. Norio, Regional Clerk, dated March 18, 2019, respecting Consideration of Reimbursement for Expense, **BE RECEIVED** for information.

Carried

Moved by Councillor Sendzik
Seconded by Councillor Whalen

That legal costs in the total amount of \$846.92 requested for reimbursement in Correspondence Item AC-C 13-2019 **BE APPROVED** pursuant to section 4.9(d) of the Regional Council Expense Policy; and

That staff **BE DIRECTED** to issue payment of \$846.92 to former-Councillor Bill Hodgson.

Carried

6. CONSENT ITEMS FOR INFORMATION

6.1 AC-C 08-2019

Children's Services Risk Assessment

Moved by Councillor Whalen
Seconded by Councillor Sendzik

That Correspondence Item AC-C 08-2019, being a memorandum from M. Jurczyk, Director, Internal Controls and Organizational Performance, dated March 18, 2019, respecting Children's Services Risk Assessment, **BE RECEIVED** for information.

Carried

6.2 AC-C 09-2019

Councillor Information Request – Financial Management Planning
Organizational Chart

Moved by Councillor Sendzik
Seconded by Councillor Foster

That Correspondence Item AC-C 09-2019, being a memorandum from H. Chamberlain, Director, Financial Management and Planning/Deputy Treasurer, dated March 18, 2019, respecting Councillor Information Request – Financial Management Planning Organizational Chart, **BE RECEIVED** for information.

Carried

6.3 AC-C 12-2019

Audit Plan Progress Update Dashboard

Moved by Councillor Sendzik
Seconded by Councillor Whalen

That Correspondence Item AC-C 12-2019, being a memorandum from M. Jurczyk, Director, Internal Controls and Organizational Performance, dated March 18, 2019, respecting Audit Plan Progress Update Dashboard, **BE RECEIVED** for information.

Carried

7. **OTHER BUSINESS**

7.1 Internal Controls and Organizational Performance Division Name

Councillor Sendzik requested staff provide information respecting a change to the Internal Controls and Organizational Performance (ICOP) division name. T. Harrison, Commissioner, Enterprise Resource Management Services/Treasurer, advised that this was a Human Resources matter and that staff would provide information respecting the process and options for changing the department name.

8. **NEXT MEETING**

The next meeting will be held on Monday, May 6, 2019 at 1:00 p.m. in the Council Chamber.

9. **ADJOURNMENT**

There being no further business, the meeting adjourned at 1:20 p.m.

Tim Rigby
Committee Chair

Matthew Trennum
Deputy Regional Clerk

Ann-Marie Norio
Regional Clerk