

Subject: Extension of Education Period for Implementation of Lobbyist Registry

Report to: Regional Council

Report date: Thursday, September 22, 2022

### Recommendations

- 1. That the educational period for the application of penalties and sanctions of the Lobbyist Registry By-law **BE EXTENDED** for an additional six (6) months;
- 2. That the necessary by-law to enact this extension **BE PRESENTED** to Council for consideration.

# **Key Facts**

- The purpose of this report is to seek Council's approval to extend the educational period for the application of penalties and sanctions for contraventions of the Lobbyist Registry By-law.
- At its meeting held on April 14, 2022, Regional Council approved By-law 2022-24, being a By-law to Establish and Maintain a Lobbyist Registry for The Regional Municipality of Niagara.
- The by-law included a provision of an educational period of six (6) months before the
  enforcement of any penalties and sanctions in order to provide an opportunity for
  communication and education to the public regarding this initiative and to allow staff
  the necessary time to undertake a competitive RFP process for Lobbyist Registrar
  services.
- Due to the RFP process being cancelled as a result of none of the proponents passing the technical benchmark, staff are recommending an extension of the educational period to permit another competitive procurement process to be initiated.

#### **Financial Considerations**

Funding related to the Lobbyist Registrar has been included in the 2023 budget and there may be cost-savings achieved as a result of the extension of the educational

period as complaints would not be received during this period; however, any cost-saving would be dependent on the number of complaints received.

## **Analysis**

At its meeting held on November 18, 2021, Regional Council approved the recommendation of its Corporate Services Committee to implement a Lobbyist Registry Program for Niagara Region subject to the approval of funding in the 2022 budget. The funding for the Lobbyist Registry Program was approved as part of the 2022 budget process.

The recommendation to approve implementation of the Registry included a provision that the first six months following passage of the By-law would be an education period where penalties and sanctions would not be applied. The Lobbyist Registry By-law includes that the Enforcement and Penalties section will come into force and effect six (6) months after the effective date of the By-law which was April 14, 2022.

This period was to provide an opportunity to educate potential lobbyists on the duties and responsibilities when lobbying public office holders. In addition, it was also to permit the undertaking of a competitive procurement process for Lobbyist Registrar Services in conjunction with an RFP for Integrity Commissioner Services.

Request for Proposal Contract 2022-RFP-43 was issued to the market on July 26, 2022 via Niagara Region's Electronic Bidding Platform and closed on August 10, 2022. Unfortunately the RFP process was cancelled as a result of no proponents passing the technical benchmark.

The Municipal Act, 2001, authorizes municipalities to establish lobbyist registries. Although it is compulsory in Toronto, only a handful of other municipalities have created lobbyist registries, including the cities of Brampton, Hamilton, Ottawa, and Vaughan, the Town of Collingwood, and the Region of Peel. In addition the City of Burlington has recently initiated a voluntary registry. Many of these municipalities either retain a full time Lobbyist Registrar, have someone acting as both their Integrity Commissioner and Lobbyist Registrar or have their Integrity Commissioner undertake this work. Given that many municipalities are just embarking on establishing registries the market for lobbyist registrar services is small and many Integrity Commissioners do not do lobbyist work.

As the Lobbyist Registry By-law contains explicit language with respect to the role and responsibilities of the Lobbyist Registrar to conduct inquiries regarding compliance with

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the By-law and to impose sanctions for by-law contraventions, staff are recommending that the educational period for the application of penalties and sanctions be extended for an additional six (6) months to allow staff to undertake a new procurement process to secure a Lobbyist Registrar.

Staff would use this opportunity to provide additional education to potential lobbyists and this extension would further allow additional time to ensure new Councillors are aware of their obligations as public office holders in accordance with the By-law.

#### **Alternatives Reviewed**

As Council's direction was to implement a mandatory Lobbyist Registry, a Lobbyist Registrar is required to fulfill specific roles and responsibilities to ensure adherence to the By-law. As such, a Lobbyist Registrar would be required to undertake those duties. Council could consider making the Registry voluntary which would eliminate the need for a Lobbyist Registrar; however, this would mean that there would not be any mechanism to deal with non-compliance.

## **Relationship to Council Strategic Priorities**

This report aligns to Council's strategic priority of sustainable and engaging government including promoting an organizational culture that values continuous improvement, collaboration, and innovation and enhancing communication.

# **Other Pertinent Reports**

CLK 2-2021 Considerations for a Lobbyist Registry

CLK 4-2021 Considerations for a Mandatory Lobbyist Registry

CLK 12-2021 Implementation of a Mandatory Lobbyist Registry

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